

# Planning Graphs and Knowledge Compilation

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6/2004

# Planning as SAT (Kautz and Selman)

- **Encode:** Map Strips problem  $P$  with horizon  $n$  into a propositional theory  $T$
- **Solve:** Using a SAT solver, determine if  $T$  is consistent, and if so, find a model
- **Decode:** Extract plan from model

# Our goal

Use of propositional logic for **defining and computing lower bounds for planning** (admissible heuristics)

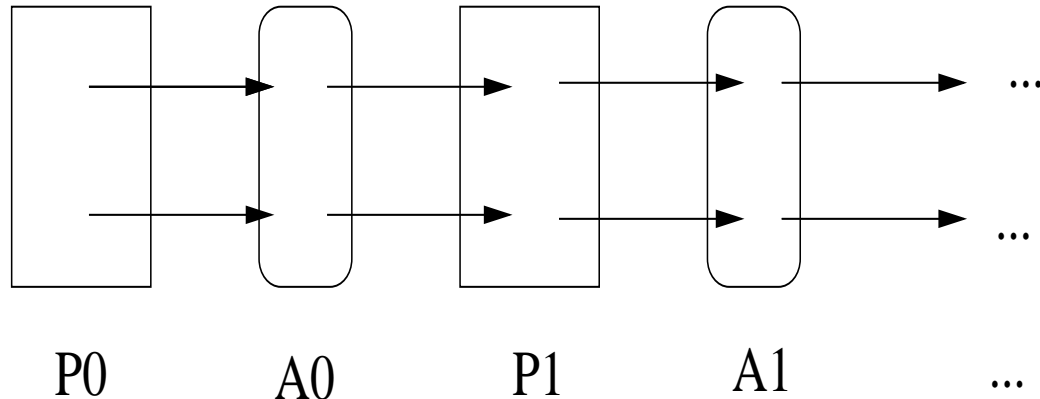
- understand the **planning graph** construction as a **precise form of inference**
- exploit account to uncover **relations** (e.g., to variable elimination) and introduce **generalizations** (e.g., incomplete information)

# Strips Refresher

- A **problem** in Strips is a tuple  $\langle A, O, I, G \rangle$  where
  - $A$  stands for set of all **atoms** (boolean vars)
  - $O$  stands for set of all **operators** (ground actions)
  - $I \subseteq A$  stands for **initial situation**
  - $G \subseteq A$  stands for **goal situation**
- The **operators**  $o \in O$  **represented** by three lists
  - the **Add** list  $Add(o) \subseteq A$
  - the **Delete** list  $Del(o) \subseteq A$
  - the **Precondition** list  $Pre(o) \subseteq A$
- The **task** is to find a plan: a sequence of applicable actions that maps  $I$  into  $G \dots$

# Lower Bounds and Planning Graphs

- Build graph with layers  $P_0, A_0, P_1, A_1, \dots$  where



$$P_0 = \{p \in s\}$$
$$A_i = \{a \in O \mid Prec(a) \subseteq P_i\}$$
$$P_{i+1} = \{p \in Add(a) \mid a \in A_i\}$$

- Graph represents **lower bound** for achieving  $G$  from  $s$ :

$$h_{max}(s) = \min i \text{ such that } G \subseteq P_i$$

*Need No-op( $p$ ) action for each  $p$ :  $Prec = Add = \{p\}$*

## More Informed $h$ in Graphplan

- Planning graph in Graphplan also keeps track of **pairs** that cannot be reached **simultaneously** in  $i$  steps,  $i = 0, 1, \dots$ 
  - **action pair mutex** at  $i$  if incompatible or preconditions **mutex** at  $i$
  - **atom pair mutex** at  $i + 1$  if supporting action pairs all **mutex** at  $i$
- **Mutexes** computed along with planning graph and yield **more informed** admissible  $h$

$$h_G(s) \stackrel{\text{def}}{=} \min i \text{ s.t. } G \subseteq P_i \text{ and } G \text{ not mutex at } i$$

**Graphplan is an IDA\* regression solver driven by this heuristic**

# Lower Bounds crucial in Planning and Problem Solving

- LBs explain performance gap between Graphplan and predecessors
- In **SAT/CSP** planning models, LBs represent implicit constraints that speed up the search:

*SAT/CSP approaches to planning indeed do not encode the planning problem directly but its **planning graph***

- Our main goal in this work: understand **derivation of these LBs or implicit constraints in the planning graph as a precise form of inference**

# Deductive Inference and Lower Bounds for Planning

- Consider following heuristic  $h$  where  $T$  encodes Strips problem with horizon  $n$  **without the goal**

$$h(G) \stackrel{\text{def}}{=} \min_{i \leq n} \text{ such that } T \not\models \neg G_i$$

i.e.,  $h(G)$  encodes first time  $i$  at which goal  $G$  consistent with  $T$

- Such  $h$  is well defined
  - Good news:  $h$  **very informative**; indeed  $h(G) = h^*(G)$  (optimal)
  - Bad news:  $h$  **intractable**



## Deductive Inference and Lower Bounds (cont'd)

Consider now approximation  $h_\Gamma$  given by sets  $\Gamma_0, \dots, \Gamma_n$  of **deductive consequences** of  $T$  at the various time points  $0, \dots, n$ :

$$h_\Gamma(G) \stackrel{\text{def}}{=} \min_{i \leq n} \text{ such that } \Gamma_i \not\models \neg G_i$$

- If sets  $\Gamma_i = \emptyset$ , then  $h_\Gamma(G) = 0$  (non-informative)
- If sets  $\Gamma_i = PI_i(T)$ , then  $h_\Gamma(G) = h(G)$  (intractable)
- Always  $0 \leq h_\Gamma \leq h$

**Question:** how to define sets  $\Gamma_i$  so that resulting LBs are **informative and tractable?**

*( $PI_i(T)$  = prime implicates of  $T$  at time  $i$ )*

# Prime Implicates and Lower Bounds: First attempt

Stratify Strips theory  $T$  (without the goal) as

$$T = T_0 \cup T_1 \cup \dots \cup T_m$$

Define sequence of sets  $\Gamma_i$  iteratively as

$$\begin{aligned}\Gamma_0 &\stackrel{\text{def}}{=} PI_0(T_0) \\ \Gamma_{i+1} &\stackrel{\text{def}}{=} PI_{i+1}(\Gamma_i \cup T_{i+1})\end{aligned}$$

It follows that no info lost in iteration, and same sets and  $h$  result:

$$\begin{aligned}\Gamma_i &= PI_i(T) \\ h_\Gamma &= h = h^*\end{aligned}$$

But then computation of  $h_\Gamma$  remains **intractable** . . .

# Prime Implicates and Tractable Lower Bounds

Define sequence of sets  $\Gamma_i$  iteratively as

$$\begin{aligned}\Gamma_0 &\stackrel{\text{def}}{=} PI_0^k(T_0) \\ \Gamma_{i+1} &\stackrel{\text{def}}{=} PI_{i+1}^k(\Gamma_i \cup T_{i+1})\end{aligned}$$

for a fixed  $k = 1, 2, \dots$ , where  $PI_i^k(T)$  stands for set of **prime implicates of  $T$  at time  $i$  with size no greater than  $k$**

**Key result:** We show in paper that for **Strips theories  $T$**

- sequence of  $\Gamma_i$  sets and  $h_\Gamma$  **informative and tractable**
- $h_\Gamma$  **equal to Graphplan  $h_G$**  for  $k = 2$ , and
- $x \in Layer_i$  **iff**  $\neg x_i \notin \Gamma_i$  **AND**  $(x, y) \in Layer_i$  **iff**  $\neg x_i \vee \neg y_i \in \Gamma_i$

where  $x \in Layer_i$  and  $(x, y) \in Layer_i$  stand for atom and mutex pair in layer  $i$  of planning graph

# General Framework: Stratified Theories

Propositional theories  $T$  defined over indexed variables  $x_i \in L_i$ ,  $0 \leq i \leq m$ , that can be expressed as union of subtheories  $T_0, \dots, T_m$  where

- $T_0$  made up of clauses  $C_0 \in L_0$
- $T_{i+1}$  made up of clauses  $C_i \vee C_{i+1}$ , where  $C_{i+1} \in L_{i+1}$  and  $C_i \in L_i$  ( $C_{i+1}$  non-empty)

**Example:** Stratified theory for Strips with horizon  $n$

1. **Init**  $T_0$ :  $p_0$  for  $p \in I$ , and  $\neg q_0$  for  $q \in A$  not in  $I$
2. **Action Layers**  $T_{i+1}$ : for  $i = 0, 2, \dots, n - 2$ 
  - $p_i \vee \neg a_{i+1}$  for each  $a \in O$  and  $p \in pre(a)$
  - $\neg a_{i+1} \vee \neg a'_{i+1}$  for interfering  $a, a'$  in  $O$
3. **Propositional Layers**  $T_{i+1}$ : for  $i = 1, 3, \dots, n - 1$ 
  - $\neg a_i \vee p_{i+1}$  for each  $a \in O$  and  $p \in add(a)$
  - $\neg a_i \vee \neg p_{i+1}$  for each  $a \in O$  and  $p \in del(a)$
  - $a_i^1 \vee a_i^2 \vee \dots \vee a_i^{np} \vee \neg p_{i+1}$  for each  $p \in A$

# Tractable PI-k Inference over Stratified Theories

Three conditions guarantee that the **iterative computation of prime implicates of bounded size** remains **tractable** for stratified theories  $T$ :

$$\Gamma_0 \stackrel{\text{def}}{=} PI_0^k(T_0)$$
$$\Gamma_{i+1} \stackrel{\text{def}}{=} PI_{i+1}^k(\Gamma_i \cup T_{i+1})$$

1.  $T$  is **compiled**: resolvents over variables  $x_{i+1}$  in  $T_{i+1}$  subsumed in  $T$
2.  $T$  has **bounded support width**: number of clauses  $C_i \vee C_{i+1}$  in  $T_{i+1}$  with common literal  $l_{i+1} \in C_{i+1}$  and body  $|C_i| > 1$ , bounded
3.  $T$  is **pure**: only  $x_{i+1}$  or  $\neg x_{i+1}$  occur in  $T_{i+1}$ 
  - Stratified **Strips** theories are **compiled**, have **support width 1**, and can easily be made **pure** (3. not needed for  $k \leq 2$ )
  - Paper contains **sound algorithm** for computing  $\Gamma_i$  sets that under conditions 1--3 is **complete** and **polynomial**

# Graphplan vs. Variable Elimination and Variations

- **Variable Elimination** is a family of algorithms for solving SAT, CSPs, Bayesian Networks, etc (Dechter et al) that follows the pattern of **gaussian elimination** for solving linear equations
- Given a theory  $T = T_0$  over variables  $x_0, \dots, x_n$ 
  - **Forward pass:** eliminate var  $x_i$  from  $T_i$  resulting in theory  $T_{i+1}$  over  $x_{i+1}, \dots, x_n$ ,  $0 \leq i < n$
  - **Backward pass:** Solve theories  $T_n, T_{n-1}, \dots, T_0$  in order, each for a single variable; result is a model (if  $T$  is satisfiable)
- **Good:** backward pass (solution extraction) is **backtrack free**
- **Bad:** forward pass (elimination pass) is **exponential** in time and space

## Alternative 1: Bounded-k Variable Elimination

- Restricts size of constraints induced by elimination of vars to  $k$
- Elimination sound but not complete; performs in **polynomial time** (removes some but not all backtracks)

## Alternative 2: Bounded-k Block Elimination

- Eliminates **blocks** of vars in one-shot, inducing constraints of size  $\leq k$  only
- Stronger than Bounded-k Var Elimination, but **exponential in size of blocks**

# Graphplan and Bounded-k Elimination

As a corollary of earlier results we get that:

- For **Strips theories**, Bounded-k Block Elimination is **polynomial** in the size of the blocks (blocks are the sets of vars in same layer)
- Graphplan actually does a **Bounded-2 Block Elimination** pass forward **exactly**, followed by a backward Backtrack Search
- Thus Graphplan fits nicely in the variable elimination framework, where it exploits the **special structure of Strips theories**



# Negative vs. Positive Deductive Lower Bound

LB scheme based on proving negation of the goal

$$h(G) \stackrel{\text{def}}{=} \min_{i \leq n} \text{ such that } T \not\models \neg G_i$$

$h(G)$  is a LB because

if  $\exists$  Plan that achieves  $G$  in  $m \leq n$  steps,  
then  $\exists M$  of  $T \wedge G_m$ ,  
then  $T \not\models \neg G_m$

**Question:** Can we define LBs based on the proving the goal itself, possibly from transformed theory  $T^+$ ?

$$h^+(G) \stackrel{\text{def}}{=} \min_{i \leq n} \text{ such that } T^+ \models G_i$$

# A Positive Deductive Lower Bound

- Define  $T^+$  as Strips encoding (without the goal) but with
  - deletes removed
  - all possible actions applied:  $prec(a)_i \supset a_i$
- Then it turns out
  - $T^+$  consistent and tractable
  - $T^+ \models G_i$  iff  $\Gamma_i \not\models G_i$  for  $k = 1$
- Thus
  - Positive and Negative LBs coincide for  $k = 1$
  - Positive LBs weaker than negative ones for  $k > 1$
  - Nonetheless former useful in non-Strips settings . . .

# Positive Deductive LBs when Information is Incomplete

$$h^+(G) \stackrel{\text{def}}{=} \min_{i \leq n} \text{ such that } T^+ \models G_i$$

- With incomplete info, test  $T^+ \models G_i$  **intractable**
- Still heuristic  $h^{++}$  defined as

$$h^{++}(G) \stackrel{\text{def}}{=} \min_{i \leq n} \text{ such that } T^{++} \models G_i$$

for any theory  $T^{++}$  **stronger** than  $T^+$  remains a **LB**

- Thus **tractable LB** can be obtained by mapping  $T^+$  into **stronger and tractable**  $T^{++}$
- So 'bounds' in Planning and Knowledge Compilation (Kautz and Selman) related after all . . .
- Indeed,  $h$  used in Brafman-Hoffmann ICAPS 04, can be understood in terms of a compilation of  $T^+$  into a 2-CNF theory  $T^{++}$  (which is not necessarily the 2-CNF LUB of  $T^+$ )

# Summary

- **Framework: Iterative computation of prime-implicates of bounded size over stratified theories**
- Conditions under which this computation is **tractable**; **Strips** theories as special case
- Correspondence with **planning graph** computation and weak forms of **variable elimination**
- **Positive vs. Negative Deductive Lower bounds**
- Uses beyond Strips: conditional effects; **incomplete information**